

UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO).	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/673,712 09/29/2003		Lawrence Salant	455610-2580.1	2458	
20999	7590	11/13/2006		EXAMINER	
		WRENCE & HAUG UE- 10TH FL.	MERANT, GUERRIER		
NEW YOR			ART UNIT	PAPER NUMBER	
			2138		
			DATE MAILED: 11/13/2006		

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)						
	10/673,712	SALANT ET AL.						
Office Action Summary	Examiner	Art Unit						
	Guerrier Merant	2138						
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address						
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 16(a). In no event, however, may a reply be tim fill apply and will expire SIX (6) MONTHS from to cause the application to become AB ANDONEI	I. · ely filed the mailing date of this communication. O (35 U.S.C. § 133).						
Status	•							
1)⊠ Responsive to communication(s) filed on 09/12	<u>2/06</u> .							
·— · _ ·	action is non-final.							
3) Since this application is in condition for allowar								
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.								
Disposition of Claims		·						
4) Claim(s) 1-18 is/are pending in the application.								
4a) Of the above claim(s) is/are withdrawn from consideration.								
5) Claim(s) is/are allowed.								
6)⊠ Claim(s) <u>1-18</u> is/are rejected.								
7) Claim(s) is/are objected to.								
8) Claim(s) are subject to restriction and/or	r election requirement.							
Application Papers								
9) The specification is objected to by the Examine	r.							
10)⊠ The drawing(s) filed on 29 September 2003 is/a	are: a)⊠ accepted or b)⊡ objec	ted to by the Examiner.						
Applicant may not request that any objection to the	- · ·							
Replacement drawing sheet(s) including the correct								
11)☐ The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.						
Priority under 35 U.S.C. § 119								
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:								
	1. Certified copies of the priority documents have been received.							
2. Certified copies of the priority documents have been received in Application No								
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).								
* See the attached detailed Office action for a list of the certified copies not received.								
· · · ·	• · · · · · · · · · · · · · · · · · · ·							
Attachment(s)	·							
1) Notice of References Cited (PTO-892)	4) Interview Summary							
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Da 5) Notice of Informal P							
Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	6) Other:							

Art Unit: 2138

DETAILED ACTION

Response to Amendment

Applicant's arguments/amendments with respect to claims 1-18 have been fully considered and therefore the claims are rejected under new grounds.

Double Patenting

1. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., In re Berg, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); In re Goodman, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); In re Longi, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); In re Van Ornum, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); In re Vogel, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and In re Thorington, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

2. Claims 1-4, and 10-13 are provisionally rejected under the judicially create doctrine of obviousness-type double patenting as being unpatentable over claims 1-4, 8-10 and 17-21 of copending Application No. 10/673,735, and claims 1-4, 7 and 9 of

Application/Control Number: 10/673,712

Art Unit: 2138

copending Application No. 10/673,713. Although the conflicting claims are not identical, they are not patentably distinct from each other because all the limitation of the rejected claims are claimed in at least one of the claims 1-4, 8-10 and 17-21, and claims 1-4, 7 and 9 of application' copending applications, and there is no reason why the rejected claims could not have been presented in the copending applications 10/673,735 and 10/673,713.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Claims Comparison Table

	10/673,712	10/673,735	10/673,713
Claims:	1	1	1,2,3,4,7,9
0.0	2	2,3,4	
	3	8,9	
	12	10	
	10	17	1,2,3,4,7,9
	4,13	18,19,20	·
	11	21	

Claim Rejections - 35 USC § 103

- 3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the

Application/Control Number: 10/673,712 Page 4

Art Unit: 2138

invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

4. Claims 1, 5, 8-10, 14, 17 and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over <u>Tan et al. (US 6,812,688)</u> and further in view of <u>Mojoli et al. (US 4,615,040)</u>.

- 1, 5, 8-10, 14, 17 and 18: <u>Tan et al.</u> discloses a method or an apparatus for determining a bit error rate based on an oscilloscope comprising the steps of:
- a) acquiring a data signal by an acquisition unit of a test instrument for a predetermined period of time (Acquisition unit, item 120- it is obvious that data are being acquired within a limited period of time –see col. [0018]);
- b) storing said data signal in a memory of said test instrument (<u>Tan et al.</u> discloses an acquisition memory supporting acquisition unit 120- therefore, it is inherent that the acquiring data are stored in that supporting acquisition memory before performing eye diagrams, mask testing, time interval error and PLL function [0026, lines 10-17]);
 - c) recovering a clock signal from said stored data signal [0021];
- d) slicing said stored data signal into a plurality of data segments of a predetermined length in accordance with said recovered clock signal ([0018, data signals are divided into portions and are then provided to the processing and display controller 130 as a first acquired sample stream AS1. Also the data frames are being tested by eye diagram and mask tests which display multiple short waveform segments of the frame 0004);

Application/Control Number: 10/673,712

Art Unit: 2138

e) synchronizing each of said data segments to align them to a frame or predetermined pattern (referring to Fig.1 once the data signals are provided to Pocessing And Display Controller 130, multiple functions are being invoked such as eye diagram which is a visual overlay of multiple data symbols aligned in time on a display device [0004]) but Tan et al fails to disclose determining a bit error rate thereof; and comparing each of said data segments to said predetermined pattern on a bit by bit basis. However, Mojoli et al. discloses a transmission system wherein data signals are separated into a plurality of frames comprising a comparator for comparing the digital bits in each of the sub-streams to the selected sequence (col. 3, lines 22-33 & col. 13, lines 47-65- see Fig. 11). Therefore at the time of the invention, one of ordinary skill in the art would have found it obvious to test the data frames (bits by bits) stored in the supporting acquisition memory of Tan et al. in order to minimize propagation of error in the receiving data.

Claims 4 and 13: <u>Tan et al</u> and <u>Mojoli et al.</u> discloses a method or an apparatus as in claims 1 and 10 above, further comprising the steps of: determining a position of each bit error in a frame and displaying said position of each determined bit error in an x/y display of said frame (bit errors in frames could be detected with the eye diagram function 134 which displays the digital data signals on the oscilloscope x/y plane; <u>Tan et al.</u> Fig. 1).

Art Unit: 2138

Claims 7 and 16: <u>Tan et al</u> and <u>Mojoli et al.</u> discloses a method or an apparatus as in claims 1 and 10 above, wherein said predetermined pattern is a pseudo-randomly generated bit sequence *(col. 6, lines 3-15; Mojoli et al.)*

5. Claims 2, 3, 6, 11, 12 and 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over <u>Tan et al. (US 6,812,688)</u> and <u>Mojoli et al</u> further in view of <u>Verboom (US 6407970)</u>.

Claims 2, 3, 11, and 12: <u>Tan et al.</u> and <u>Mojoli et al.</u> disclose a method or an apparatus as in claims 1, 6, and 10 above, but <u>Tan et al.</u> and <u>Mojoli et al</u> do not disclose the recovery step to further comprises the steps of: defining a threshold value for decoding the serial data signal; comparing each portion of the stored data signal to said threshold level; determining pairs of adjacent samples that straddle said threshold; and estimating a time of crossing said threshold between said adjacent samples to obtain a series of observed times of threshold crossing. However, <u>Verboom</u> discloses a data recovery of storage systems comprising a threshold detector receiving data samples from the read logic of the optical storage system. Wherein these data samples are applied to a threshold to create a preliminary determination regarding the data. Specifically, the data is preliminarily determined to be either a 1 or 0 based upon a raw threshold determination (col. 3, lines 3-14). Also <u>Verboom</u> discloses a conventional method of detecting recorded data using a threshold. For instance, channel bits of an optical read channel (using, for example, 1,7 run-length-limited modulation coding) are detected by

comparing a read signal to a predetermined threshold: if the read signal exceeds the threshold at a particular channel-bit location, that channel-bit is considered a `1` (i.e., a mark); otherwise the channel-bit is considered a `0` (i.e., a space) (col. 1, lines 50-57). Therefore at the time of the invention, one of ordinary skill in the art would have found it obvious to set a threshold level in the oscilloscope of <u>Tan et al.</u> in order to reduce the effect of jitter on the received signals and provide an accurate representation of the signals.

Conclusion

- 6. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure:
 - a) Ferguson et al. (US 6,850,852) discloses a system and method for configuring a logic analyzer to trigger on data communications packets and protocols.
 - b) <u>Sepp et al. (US 6,836,738)</u> discloses a method for optimized rendering of eye diagrams synchronized to a recovered clock and based on a single shot acquisition.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Exr. Merant Guerrier whose telephone number is (571) 270-1066. The examiner can normally be reached Monday through Thursday from 10: 30 a.m. to 3:30 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Albert Decady, can be reached on (571) 270-1065. Draft or Informal faxes,

Application/Control Number: 10/673,712

Art Unit: 2138

which will not be entered in the application, may be submitted directly to the examiner at

(571) 272-3819.

Merant Guerrier

10/19/06

ALBERT DECADY

TECHNOLOGY CENTER 2100

Page 8